

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS  
(CENTRAL DIVISION)

ELIZABETH R. STEFFENBERG,	}	
Plaintiff	}	
	}	
v.	}	
	}	
T. GENE GILMAN, STEVEN GILMAN,	}	
THE GILMAN INSURANCE	}	CIVIL ACTION NO.
AGENCY, INC., DAVID M. SCOLL,	}	
ARBOR SECURITIES, LTD.,	}	NO. 04-40113-FDS
ALLIANCE INVESTMENT	}	
MANAGEMENT, COMMONWEALTH	}	
FINANCIAL HOLDINGS INC.,	}	
FINANCIAL LINKS, INC.,	}	
T. GILMAN & CO., LTD.,	}	
PENSON FINANCIAL SERVICES, INC.,	}	
AVIVA USA CORPORATION,	}	
AVIVA LIFE INSURANCE COMPANY,	}	
TRADETEK, LTD., and	}	
TRADETEK, LLC.,	}	
Defendants.	}	
	}	

**AVIVA LIFE INSURANCE COMPANY'S  
LOCAL RULE 7.3 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Rule 7.3 of the Local Rules of the United States District Court for the District of Massachusetts, Defendant Aviva Life Insurance Company hereby discloses that it is wholly owned by Aviva USA Corporation and its ultimate parent company is Aviva, plc.

Respectfully submitted,

AVIVA LIFE INSURANCE COMPANY

By its attorneys,

/s/Kristin M. Knuutila

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Date: January 21, 2005